

COVID-19 Lab Reporting Guidance

Variant Reporting Guidance

Regulatory Position on Reporting Sequencing Results to Public Health Departments

The <u>Centers for Medicare and Medicaid Services</u> (CMS) published information that allows both non-CLIA Clinical Laboratory Improvement Amendments (CLIA) and CLIA-certified facilities that perform SARS-CoV-2 genetic sequencing on identified specimens to report patient-specific results to state, local, tribal, or territorial public health departments. Any sequencing data can be reported to public health.

Laboratories can only report results to patients or providers when sequencing is performed in a CLIA certified laboratory <u>and</u> in CLIA-compliant manner. This means sequencing was performed in compliance with all applicable CLIA regulations, in addition to the laboratory obtaining a CLIA certificate. Not all laboratories perform SARS-CoV-2 genetic sequencing in a CLIA-compliant manner, as it is only necessary if results are intended to be used for the purposes of a person's diagnosis, prevention, treatment, or health assessment, and reported to the patient or provider. SARS-CoV-2 genetic sequencing results reported to public health do not have to be performed in a CLIA-compliant manner as reporting is for surveillance and public health purposes only.

Both scenarios require reporting to the Tennessee Department of Health (TDH) if the patient is a resident of Tennessee, regardless of where they were tested, or the specimen was obtained. Tennessee further requires the reporting of all results for specimens submitted by ordering providers in Tennessee regardless of the patient address.

How to Report SARS-CoV-2 Sequencing Results to the Tennessee Department of Health

This guidance outlines the process for adding a SARS-CoV-2 genetic sequencing result to an existing electronic laboratory report to provide that information to TDH as well as guidance for those who are currently reporting results via our Emergency Use Template (EUT). If you are not currently reporting via either of these methods, please contact ceds.informatics@tn.gov to discuss set up. SARS-CoV-2 sequencing results should be reported as a follow-up to the original positive viral test result. The electronic reporting of the sequencing data should include all the original patient demographic data, along with both the viral test report content and the second ordered test with viral genetic lineage identified. CDC requests that laboratories and facilities that have SARS-CoV-2 positive specimens and intend to report -CoV-2 lineages, including variants, should upload sequence data to a public database (National Center for Biotechnology)

Information [NCBI], Global Initiative on Sharing Avian Influenza Data [GISAID]) and the methods for linking that upload to your specific result reporting is included below.

Technical Guidance for ELR Reporting of Sequencing Results to the Tennessee Department of Health

The table below provides detailed guidance on reporting SARS-CoV-2 sequencing results to TDH and includes examples for packaging data elements. This technical guidance is **subject to change as new information becomes available about the impact of SARS-CoV-2 evolution on public health**. For simplicity, only the fields needing more guidance in the additional observations for the variant lineage and the ID for the sequence sample are highlighted here. Other data elements normally part of each Observation/Result Segment (OBX), such as the result date, still need to be packaged as well.

| Data Element | Reporting Requirement | | | Technical Specifications | Notes | Example | HL7 Field |
|---|------------------------|----------------------|-------------------------------|---|--|---|--------------|
| | Federal / CDC / HHS | State / Local PHD | Ordering Provider / EHR | | | | |
| Test result (performed) Test result (values) | Yes | Yes | Requested | Must use harmonized LOINC codes, when available | SARS-CoV-2 pango lineage identified through sequencing from the original specimen | SARS-CoV-2 (COVID-19) lineage [Identifier] in Specimen by Molecular genetics method Allowable: LOINC = 96741-4 SARS-CoV-2 (COVID-19) variant [Type] in Specimen by Sequencing | OBX-3 |
| | | | | | | | <u>OBX-5</u> |

¹ PLR and SNOMED values should be updated as new codes are defined and added to the Confluence site at https://confluence.hl7.org/display/OO/Proposed+HHS+ELR+Submission+Guidance+using+HL7+v2+Messages?focusedCommentId=86968216

| Test result date | Yes | Yes | Requested | YYYY[MM[DD]] | Date the test result was obtained | Example: 20200716 | OBX-19.1 |
|-------------------------------------|---------------|---------------|-----------|---|--|--|-------------------|
| Device Identifier | Yes | Yes | Requested | Must use harmonized <u>Device</u> <u>Identifiers</u> , <u>when</u> <u>available</u> . The DI is contained within the UDI, created by manufacturer | ests UDI issuance, then provides DI, or pull from GUDID | Example DI: 01234567891011 Example Trade Name: SARS-CoV-2 Test_Company_MNT^^99ELR | OBX-17, OBX-18 |
| Sequence ID | Yes | Yes | N/A | Lab assigned Sequence ID | Add as an additional observation to the original report | Preferred: LOINC = 98062-3 Sequencing study identifier Allowable: PLT2397^Filler Lab Assigned Genetic Sequence Identifier^PLT OBX-2 = ST <whatever format="" lab="" the="" uses=""></whatever> | OBX-3 OBX-2 OBX-5 |
| Performing facility name; CLIA # | Yes; if known | Yes; if known | | Alpha; ##D####### | CLIA Laboratory Search | Example: 21D1234567 | OBX-23.10 |

Acronyms:

CDC: Centers for Disease Control and Prevention

CFR: Code of Federal Regulations

CLIA: Clinical Laboratory Improvement Amendments

DI: Device Identifier

EHR: Electronic Health Record

GUDID: Global Unique Device Identification Database HHS: Department of Health and Human Services

HL7: Health-Level Seven

ID: Identifier

LOINC: Logical Observations Identifiers Names and Codes NCBI: National Center for Biotechnology Information

NPI: National Provider Identifier OBR: Observation Request Segment OBX: Observation/Result Segment ORC: Common Order Segment PHD: Public Health Department PID: Patient Identification Segment SNOMED-CT: Systematized Nomenclature of Medicine – Clinical Terms

SPM: Specimen Segment ST: String data type

ST: String data type UDI: Universal Device Identification

UNK: Unknown

Example messages

| 1. Include prior PCR result that resulted in decision to do sequencing, if performed at the same lab (Parent-child linking, if possible) |
|--|
| MSH |
| SFT |
| PID 1 |
| ORC RE 425195^ <fillersystem>^<oid>^ISO <npi>^DoctorLastName^FirstName^^^^NPI&2.16.840.1.113883.4.6&ISO^^^^NPI 20201103 093552-0500 HealthCare 301 Anystreet^^Daytona Beach^FL^32117 ^PRN^PH^^^111^1234566 </npi></oid></fillersystem> |
| OBR 1 425195^ <fillersystem>^<oid>^ISO 94500-6^SARS coronavirus 2 RNA [Presence] in Respiratory specimen by NAA with probe detection^LN^^^2.69 20201102063552-0500 <npi>^DoctorLastName^FirstName^^^^NPI&2.16.840.1.113883.4.6&ISO^^^^NPI 20201103093827-0500 F</npi></oid></fillersystem> |
| OBX 1 CWE 94500-6^SARS coronavirus 2 RNA [Presence] in Respiratory specimen by NAA with probe detection^LN^^^2.69 260373001^Detected^SCT^^^Vunknown F <clia> Abbott RealTime SARS-CoV-2 assay_Abbott Molecular IncEUA^^99ELR^^^Vunknown 20201103093827-0500 Testinglab name^L^^^^CLIA&2.16.840.1.113883.4.7&ISO^XX^^^<clia> 2110 Any Road^Nashville^TN^37011</clia></clia> |
| NTE 1 L Abbott RealTime SARS-CoV-2 assay_Abbott Molecular IncEUA RE^Remark^HL70364^^^2.5.1 |
| OBX 2 NM 30525-0^Age^LN^^^2.68 40 a^year^UCUM^^^^Vunknown F <clia> 20201103093827-0500 Testinglab name^L^^^^CLIA&2.16.840.1.113883.4.7&ISO^XX^^^<clia> 2110 Any Road^^Nashville^TN^37011 QST</clia></clia> |
| OBX 3 CWE 95419-8^Whether patient has symptoms related to condition of interest^LN^^^2.69-pre Y^Yes^HL70136^^^2.5.1 F <clia> 20201103093827-0500 Testinglab name^L^^^^CLIA&2.16.840.1.113883.4.7&ISO^XX^^^<clia> 2110 Any Road^^Nashville^TN^37011 QST</clia></clia> |
| OBX 4 CWE 82810-3^Pregnancy status^LN^^^2.68 60001007^Not Pregnant^SCT^^^Vunknown F <clia> 20201103093827-0500 Testinglab name^L^^^^CLIA&2.16.840.1.113883.4.7&ISO^XX^^^<clia> 2110 Any Road^^Nashville^TN^37011 QST</clia></clia> |
| SPM 1 ^MOL20-003999&NEOLINK.STAG&2.16.840.1.113883.3.8589.4.2.29.2&ISO 258529004^Throat swab^SCT^^^^Vunknown 20201102063552-0500 20201103063552-0500 |

| ORC RE 425197^ <fillersystem>^<oid>^ISO <npi>^DoctorLastName^FirstName^^^^^NPI&2.16.840.1.113883.4.6&ISO^^^^NPI 20201103 093552-0500 HealthCare 301 Anystreet^^Daytona Beach^FL^32117 ^PRN^PH^^^111^1234566 </npi></oid></fillersystem> |
|---|
| OBR 2 425197^ <fillersystem>^<oid>^ISO 96895-8^SARS-CoV-2 (COVID-19) lineage [Identifier] in Specimen by Molecular genetics method^LN^^^2.70 20201104093827-0500 </oid></fillersystem> |
| OBX 1 CWE 96895-8^SARS-CoV-2 (COVID-19) lineage [Identifier] in Specimen by Molecular genetics method^LN ^^^2.70 PLR4366^ SARS-CoV-2 variant B.1.1.7 (501Y.V1)^PLR^^^1.35 F <clia> 20201104093827-0500 Testinglab name^L^^^CLIA&2.16.840.1.113883.4.7&ISO^XX^^^<clia> 2110 Any Road^Nashville^TN^37011</clia></clia> |
| OBX 2 ST 98062-3^Sequencing study identifier^LN EPI ISL 250390 F <clia> 20201104093827-0500 Testinglab name^L^^^^CLIA&2.16.840.1.113883.4.7&ISO^XX^^^<clia> 2110 Any Road^^Nashville^TN^37011</clia></clia> |
| SPM 1 ^MOL20-003999&NEOLINK.STAG&2.16.840.1.113883.3.8589.4.2.29.2&ISO 258529004^Throat swab^SCT^^^^Vunknown 20201102063552-0500 20201103063552-0500 |
| 2. Include prior PCR result that resulted in decision to do sequencing, if performed at the same lab – no parent-child linkage |
| MSH |
| SFT |
| PID 1 |
| ORC RE 425195^ <fillersystem>^<oid>^ISO <npi>^DoctorLastName^FirstName^^^^^NPI&2.16.840.1.113883.4.6&ISO^^^^NPI 20201103093552-0500 HealthCare 301 Anystreet^^Daytona Beach^FL^32117 ^PRN^PH^^^111^1234566 </npi></oid></fillersystem> |
| OBR 1 425195^ <fillersystem>^<oid>^ISO 94500-6^SARS coronavirus 2 RNA [Presence] in Respiratory specimen by NAA with probe detection^LN^^^2.69 20201102063552-0500 <npi>^DoctorLastName^FirstName^^^^NPI&2.16.840.1.113883.4.6&ISO^^^^NPI 20201103093827-0500 F</npi></oid></fillersystem> |
| OBX 1 CWE 94500-6^SARS coronavirus 2 RNA [Presence] in Respiratory specimen by NAA with probe detection^LN^^^2.69 260373001^Detected^SCT^^^^Vunknown F <clia> Abbott RealTime SARS-CoV-2 assay_Abbott Molecular IncEUA^^99ELR^^^Vunknown 20201103093827-0500 Testinglab name^L^^^^CLIA&2.16.840.1.113883.4.7&ISO^XX^^^<clia> 2110 Any Road^Nashville^TN^37011</clia></clia> |
| NTE 1 L Abbott RealTime SARS-CoV-2 assay_Abbott Molecular IncEUA RE^Remark^HL70364^^^2.5.1 |
| OBX 2 NM 30525-0^Age^LN^^^2.68 40 a^year^UCUM^^^^Vunknown F <clia> 20201103093827-0500 Testinglab name^L^^^^CLIA&2.16.840.1.113883.4.7&ISO^XX^^^<clia> 2110 Any Road^^Nashville^TN^37011 QST</clia></clia> |
| Undated 2/22/22 |

| OBX 3 CWE 95419-8^Whether patient has symptoms related to condition of interest^LN^^^2.69-pre Y^Yes^HL70136^^^2.5.1 F <clia> 20201103093827-0500 Testinglab name^L^^^^CLIA&2.16.840.1.113883.4.7&ISO^XX^^^<clia> 2110 Any Road^^Nashville^TN^37011 QST</clia></clia> |
|---|
| OBX 4 CWE 82810-3^Pregnancy status^LN^^^2.68 60001007^Not Pregnant^SCT^^^^Vunknown F <clia> 20201103093827-0500 Testinglab name^L^^^^CLIA&2.16.840.1.113883.4.7&ISO^XX^^^<clia> 2110 Any Road^^Nashville^TN^37011 QST</clia></clia> |
| SPM 1 ^MOL20-003999&NEOLINK.STAG&2.16.840.1.113883.3.8589.4.2.29.2&ISO 258529004^Throat swab^SCT^^^^Vunknown 20201102063552-0500 20201103063552-0500 |
| ORC RE 425197^ <fillersystem>^<oid>^ISO <npi>^DoctorLastName^FirstName^^^^NPI&2.16.840.1.113883.4.6&ISO^^^^NPI 20201103 09355 0500 HealthCare 301 Anystreet^^Daytona Beach^FL^32117 ^PRN^PH^^^111^1234566 </npi></oid></fillersystem> |
| OBR 2 425197^ <fillersystem>^<oid>^ISO 96895-8^SARS-CoV-2 (COVID-19) lineage [Identifier] in Specimen by Molecular genetics method^LN ^^^2.70 20201104093827- 0500 <npi>^DoctorLastName^FirstName^^^^NPI&2.16.840.1.113883.4.6&ISO^^^^NPI 20201103093827-0500 F </npi></oid></fillersystem> |
| OBX 1 CWE 96895-8^SARS-CoV-2 (COVID-19) lineage [Identifier] in Specimen by Molecular genetics method^LN ^^^2.70 PLR4366^ SARS-CoV-2 variant B.1.1.7 (501Y.V1)^PLR^^^1.35 F <clia> 20201104093827-0500 Testinglab name^L^^^CLIA&2.16.840.1.113883.4.7&ISO^XX^^^<clia> 2110 Any Road^Nashville^TN^37011</clia></clia> |
| OBX 2 ST 98062-3^Sequencing study identifier^LN EPI ISL 250390 F <clia> 20201104093827- 0500 Testinglab name^L^^^^CLIA&2.16.840.1.113883.4.7&ISO^XX^^^<clia> 2110 Any Road^^Nashville^TN^37011</clia></clia> |
| SPM 1 ^MOL20-003999&NEOLINK.STAG&2.16.840.1.113883.3.8589.4.2.29.2&ISO 258529004^Throat swab^SCT^^^^Vunknown 20201102063552-0500 20201103063552-0500 |

Technical Guidance for Reporting Sequencing Results to the Tennessee Department of Health using Emergency Use Template

The table below provides detailed guidance on reporting SARS-CoV-2 sequencing results to TDH via the Emergency Use Template (EUT) and includes examples for data elements. This technical guidance is **subject to change as new information becomes available about the impact of SARS-CoV-2 evolution on public health**. For simplicity, only the fields needing more guidance in the additional observations for the variant lineage and the ID for the sequence sample are highlighted here. Other data elements normally part of each result still need to be included as well.

| EUT Data Element | Reporting Requirement | | | Technical Specifications | Notes | Example |
|------------------|------------------------|----------------------|-------------------------------|-----------------------------|-------|---------|
| | Federal / CDC / HHS | State / Local PHD | Ordering Provider / EHR | | | |

| TestName | Yes | Yes | Requested | Must use harmonized LOINC codes, when | Variant identified through | LOINC = 96895-8 SARS-CoV-2 (COVID-19) lineage [Identifier] in Specimen by Molecular genetics method |
|----------|-----|-----|-----------|---------------------------------------|----------------------------|---|
| | | | | available | sequencing isolate from | Specimen by Worcedian genetics include |
| | | | | | the original specimen | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

² PLR and SNOMED values should be updated as new codes are defined and added to the Confluence site at https://confluence.hl7.org/display/OO/Proposed+HHS+ELR+Submission+Guidance+using+HL7+v2+Messages?focusedCommentId=86968216

| Result | Yes | Yes | Requested | Send as string | Variant identified through sequencing isolate from the original specimen | The below are examples of current variant names. Please see the footnote below ² for where to get a list of variants. SARS-CoV-2 B.1.1.7 lineage SARS-CoV-2 B.1.351 lineage SARS-CoV-2 B.1.427 lineage SARS-CoV-2 B.1.429 lineage SARS-CoV-2 B.1.525 lineage SARS-CoV-2 B.1.526 lineage SARS-CoV-2 P.1 lineage SARS-CoV-2 P.1 lineage SARS-CoV-2 P.1 lineage SARS-CoV-2 P.1 lineage SARS-CoV-2 B.1.617 lineage SARS-CoV-2 B.1.617 lineage SARS-CoV-2 B.1.617.1 lineage SARS-CoV-2 B.1.617.1 lineage SARS-CoV-2 B.1.617.2 lineage SARS-CoV-2 B.1.617.3 lineage |
|--------------|-----|-----------|-----------|---|---|---|
| DateVerified | Yes | Yes | Requested | mm/dd/yyyy or mm/dd/yyyy hh:mm:ss | Date of testing | Example: 05/10/2021 13:54 |
| Sequence ID | Yes | Requested | | Lab assigned Sequence ID | Please reach out to ceds.informat ics@tn.gov to discuss options for sending this field specific to your organization. | |